

PTO/SB/08A (08-03)  
Approved for use through 07/31/2006. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	09/687,464
		Filing Date	October 13, 2000
		First Named Inventor	Fujisawa et al.
		Art Unit	2837
		Examiner Name	Fletcher, Marlon T.
Sheet	1	of	3
		Attorney Docket Number	72760 [00P290PS-US00]

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
MS	AA	US- 6,031,174	02-29-2000	Takabayashi	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)			
MS	AB	JP 07-121163	05-12-1995	Yasushi	✓
	AC	JP 07-064545	03-10-1995	Yasuto et al.	✓
	AD	JP 02-108098	04-19-1990	Riichi et al.	✓
	AE	JP 06-343764	12-20-1994	Tomomi	✓
	AF	JP 08-185164	07-16-1996	Katsu	✓
	AG	JP 08/305356	11-22-1996	Sei	✓

Examiner Signature		Date Considered	7/20/04
--------------------	--	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Form PTO-1449 (Modified)

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
CITATION IN AN APPLICATION**

Sheet 1 of 1

Application No.

09/687,464

Filing Date

October 13, 2000

First Named Inventor

Fujisawa, Takafumi

Group Art Unit

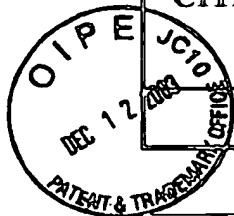
2837

Examiner Name

Fletcher, Marlon T.

Attorney Docket No.

72760 [00P290PS-US00]



**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSE D PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
	AA		5,592,602		Edmunds et al.	01/07/1997			05/17/1994
	AB		5,212,733		DeVitt et al.	05/18/1993			02/28/1990

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO

**OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS**

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	AC		A. MERCK; "Steinberg Cubase Audio Sequencer & Harddisk-Recording fur Apple Macintosh"; Keyboard, Music Media Verlag; Augsburg; January 1993; pp. 112-115 and 118; XP-002240715; (full 10-page English translation also included).

Examiner  
Signature

Date  
Considered

7/20/04

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449 (Modified)  <b>SUPPLEMENTAL INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  Sheet 1 of 2	Application No.	09/687,464
	Filing Date	October 13, 2000
	First Named Inventor	Fujisawa, Takafumi
	Group Art Unit	2837
	Examiner Name	Fletcher, Marlon T.
Attorney Docket No.		72760 [00P290PS-US00]

U.S. PATENT DOCUMENTS									
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					

FOREIGN PATENT DOCUMENTS										
EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO
<i>MS</i>	AA		EP	0 778 536	A2	06/11/1997			X	

*Marlon Fletcher 7/28/04*

TO 2837  
SEP-1-00  
MAIL ROOM

Form PTO-1449 (Modified)

JUN 06 2003

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
CITATION IN AN APPLICATION**

Sheet 1 of 2

Application No.	09/687,464
Filing Date	October 13, 2000
First Named Inventor	FUJISAWA, Takafumi
Group Art Unit	3713
Examiner Name	Cherubin, Yveste Gilberte
Attorney Docket No.	72760 [00P290PS-US00]

**U.S. PATENT DOCUMENTS**

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	U.S. PATENT DOCUMENT		NAME OF INVENTOR OR APPLICANT	DATE OF ISSUANCE OR PUBLICATION (MM-DD- YYYY)	CLASS	SUB CLASS	FILING DATE (if appropriate)
			PATENT, PUB., OR APP. NO.	KIND CODE (if known)					
<i>MP</i>	AA		6,450,888	B1	Takase et al.	09/17/2002			02/11/2000
	AB		6,347,998	B1	Yoshitomi et al.	02/19/2002			06/26/2000
	AC		6,320,110	B1	Ishikawa et al.	11/20/2001			08/14/2000
	AD		6,177,624	B1	Takahashi et al.	01/23/2001			08/03/1999
	AE		5,919,047		Sone	07/06/1999			02/24/1997
	AF		5,859,380		Anada	01/12/1999			05/14/1997
	AG		5,684,259		Horii	11/04/1997			09/09/1994
	AH		5,491,297		Johnson et al.	02/13/1996			01/05/1994
<i>MP</i>	AI		5,315,057		Land et al.	05/24/1994			11/25/1991

**FOREIGN PATENT DOCUMENTS**

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	FOREIGN PATENT DOCUMENT			DATE OF PUBLICATION (MM-DD-YYYY)	CLASS	SUB CLASS	TRANSLATION	
			COUNTRY OR OFFICE (two-letter code)	DOCUMENT NO.	KIND CODE (if known)				YES	NO
<i>MP</i>	AJ		JP	8-305356	A	11/22/1996			X (Abstract only)	

RECEIVED  
JUN 10 2003  
TC 3700 MAIL ROOM

Form PTO-449 (Modified)

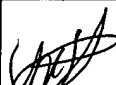
JUN 10 2003

**SUPPLEMENTAL  
INFORMATION DISCLOSURE  
CITATION IN AN APPLICATION**

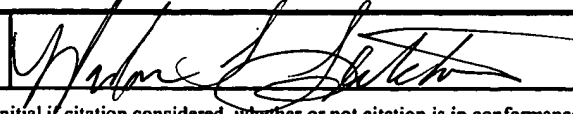
Application No.	09/687,464
Filing Date	October 13, 2000
First Named Inventor	FUJISAWA, Takafumi
Group Art Unit	3713
Examiner Name	Cherubin, Yveste Gilberte
Attorney Docket No.	72760 [00P290PS-US00]

Sheet 2 of 2

**OTHER DOCUMENTS – NON PATENT LITERATURE DOCUMENTS**

EXAMINER INITIALS*	CITE NO.	COPY NOT ENCLOSED PER 37 CFR § 1.98(d)	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	AK		JAPANESE PATENT OFFICE; "Rejection of the Application"; Mailing No. 101038; Mailing date April 1, 2003; 4 pages (including one-page English translation of portions).

RECEIVED  
JUN 10 2003  
TC 3700 MAIL ROOM

Examiner Signature		Date Considered	7/20/07
-----------------------	---	--------------------	---------

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.